



APR 09 2002

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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,590	11/29/2001	Manfred R. Kuehnle	102085-0001

CONFIRMATION NO. 6230

## FORMALITIES LETTER



\*OC00000007486174\*

 24267  
 CESARI AND MCKENNA, LLP  
 88 BLACK FALCON AVENUE  
 BOSTON, MA 02210

Date Mailed: 02/15/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/2002 SMINASS1 00000004 09997590

EC:205

65.00 OP


7



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Please charge any additional fee occasioned by this paper to our Deposit Account  
No. 03-1237.

Respectfully submitted,



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John F. McKenna  
Reg. No. 20,912  
CESARI AND MCKENNA, LLP  
88 Black Falcon Avenue  
Boston, MA 02210-2414  
(617) 951-2500



CS

04-10-02

PATENTS  
102085-0001

MP  
✓

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re The Application of:  
Manfred R. Kuehnle et al.

Serial No.: 09/997,590

Filed: November 29, 2001

For: OPTICAL TRANSMISSION NET-  
WORK FOR SELF-DIRECTED  
MESSAGES

Examiner: Not yet assigned

Art Unit: Not yet assigned

Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
April 9, 2002

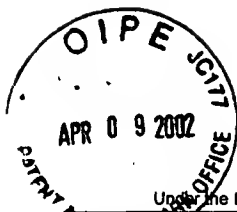
**EXPRESS-MAIL DEPOSIT**

"Express Mail" Mailing-Label Number: EL736448611US

The following papers are being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. §1.10:

X Declaration and Power of Attorney  
X Check in the amount of \$65.00  
X Response to Notice to File Missing  
Parts

X Fee Transmittal Letter  
X Notice to File Missing Parts



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

65

Complete if Known

Application Number

09/997,590

Filing Date

November 29, 2001

First Named Inventor

Manfred R. Kuehnle et al.

Examiner Name

Not yet assigned

Group Art Unit

Not yet assigned

Attorney Docket No.

102085-0001

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

03-1237

Deposit  
Account  
Name

Cesari and McKenna, LLP

☒ Charge Any Additional Fee Required  
Under 37 C.F.R. §§1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check

☐ Credit card

☐ Money  
Order

☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity  
Fee Fee Fee Fee  
Code (\$) Code (\$)

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid	
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

0

### 2. EXTRA CLAIM FEES

Extra Fee from  
Claims below Fee Paid

Total Claims  - 20\*\* =  0 x  =  0

Independent Claims  - 3\*\* =  0 x  =  0

Multiple Dependent

=  0

Large Entity Small Entity  
Fee Fee Fee Fee  
Code (\$) Code (\$)

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid	
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

0

\*\*or number previously paid, if greater; For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid	
105	130	205	65	Fee Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English Specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	128	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility Issue fee (or reissue)	
143	460	243	230	Design Issue fee	
144	620	244	310	Plant Issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

65

## SUBMITTED BY

Name (Print/Type)

John F. McKenna

Registration No.  
(Attorney/Agent)

20,912

Complete (if applicable)

Telephone

(617) 951-2500

Signature

Date

April 9, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OF COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.